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2 Running title: *Access to soil moisture and seedling survival*

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5 **Rooting depth and soil moisture control woody seedling survival under**

6 **Mediterranean drought**

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1 **Summary**

- 2 1. Seedling survival is one of the most critical stages in a plant's life history often
3 associated to a high mortality. In water-stressed habitats, drought and soil desiccation
4 arise as the main causes. It has been hypothesized that root systems accessing moist
5 soil layers are critical to become established; however, very little is known on seedling
6 root growth in the field and root traits implications for survival, and such relationships
7 have rarely been addressed directly.
- 8 2. We related seedling mortality and presence of deep roots in a field experiment in which
9 we monitored soil moisture, root growth, and seedling survival in five Mediterranean
10 woody species from the beginning of the growing season until the end of the drought
11 season.
- 12 3. Species showed contrasting rooting patterns and survival rates. We found strong
13 positive relationships between survival and rooting depth as well as between survival
14 and soil moisture. Species with deep-rooted seedlings survived drought by growing
15 roots into deep, moist soil layers, whereas species whose roots remained in shallow,
16 drying soils died more frequently. However, biomass allocation to roots rather than to
17 shoots was not related to establishment success. A minimum soil moisture value seems
18 to control plant survival, so that plant establishment is prevented under such threshold.
- 19 4. Data showed that species able to keep roots in moist soil layers stand prolonged
20 drought periods better. Access to moist soil horizons mechanistically explains species-
21 specific survival rates and the existence of soil moisture thresholds that control
22 establishment provides insights into plant population dynamics in dry environments.

23

24 Key-words: dry habitats, establishment, humidity threshold, Mediterranean species, root
25 growth.

1 **Introduction**

2 Seedling recruitment is one of the most critical stages in a plant's life history since high
3 mortality rates are often associated with the seedling phase (Fenner 1987). Seedlings of
4 different species die from a wide variety of causes (Fenner & Kitajima 1999; Moles &
5 Westoby 2004), including many biotic and abiotic factors such as pathogens, herbivory,
6 high or low temperatures and radiation, allelopathy and competition. Drought and soil
7 desiccation arise as the main causes preventing establishment in many environments
8 (Moles & Westoby 2004). One such environment is Mediterranean-type ecosystems, where
9 establishment after germination is greatly threatened by long, dry summer periods (Herrera
10 1992). In such areas seedlings are very drought-sensitive, and recruitment processes are
11 often restricted to sporadic rainfall periods (Holmgren & Scheffer 2001; Pugnaire *et al.*
12 2006b) or privileged microsites (Padilla & Pugnaire 2006).

13 Deep-rooting is a trait long known as a means to withstand water stress, as large
14 biomass allocation to roots may improve water uptake and increase probability of survival
15 in Mediterranean communities (Lloret, Casanovas & Peñuelas 1999). Deep-rooted plants
16 avoid dehydration by tapping stable water reserves, which allows for better water balance,
17 higher photosynthetic rates and growth extended into the dry season (Nepstad *et al.* 1994).
18 Deep roots might be also advantageous for seedling establishment, as species with deeper
19 roots have a probability of surviving drought higher than shallow-rooted species (Donovan,
20 Mausberg & Ehleringer 1993; Canadell & Zedler 1995). Contrasting seedling survival
21 during drought is often due to varying tolerance to low soil humidity (Hasting, Oechel &
22 Sionit 1989; Ackerly 2004). For instance, Davis (1989) found in the California chaparral
23 that seedlings of drought-tolerant species, often shallow-rooted, survived water shortage
24 better than seedlings of drought-sensitive species.

1 Although the role of deep root systems in plant establishment has long been
2 acknowledged (Davis 1989; Enright & Lamont 1992; Canadell & Zedler 1995; Pugnaire,
3 Chapin & Hardig 2006a), establishment failures due to lack of deep roots have rarely been
4 specifically tested or quantified. Very little is known on root growth patterns in the field as
5 related to soil water status, and many of the mechanisms controlling establishment success
6 remain poorly understood (Hanley *et al.* 2004), particularly those related to root traits (but
7 see Lloret, Casanovas & Peñuelas 1999). This question is particularly significant in dry
8 environments and under global change scenarios with longer drought spells (IPCC 2001).
9 Drier conditions may alter the regeneration niche of many species (*sensu* Grubb 1977) and
10 species abundance and occurrence may be restricted if seedlings are unable to deal with
11 lower water availability (Brown, Valone & Curtin 1997, Schenk & Jackson 2002).

12 Here, we address how drought differently affected the establishment success of five
13 perennial species and if such differences could be explained by rooting depth and access to
14 soil moisture. We related seedling mortality and deep roots in a field experiment in which
15 we monitored the soil moisture profile, root growth and survival of transplanted seedlings
16 of woody Mediterranean species from the beginning of the growing season until the end of
17 the drought period. We hypothesized that deep-rooted seedlings would attain higher
18 survival after summer than shallow-rooted individuals by keeping roots in moister soil
19 horizons.

20

21 **Methods**

22 *Species*

23 We selected five native perennial woody species occurring in Mediterranean shrublands in
24 semi-arid southeast Spain. Two of the species were nearly leafless shrubs with
25 photosynthetic stems, including a gymnosperm, *Ephedra fragilis* Desf., and a legume,

1 *Retama sphaerocarpa* (L.) Boiss. The other species included a succulent C₄, *Salsola*
2 *oppositifolia* Desf., a large C₃ shrub, *Olea europaea* var. *sylvestris* Brot., and a tree
3 species, *Pinus halepensis* Mill. Hereafter we refer to these species by their generic names
4 only. *Ephedra*, *Olea* and *Pinus* are frequent in late-successional communities, whereas
5 *Retama* and *Salsola* successfully colonize disturbed areas (Peinado, Alcaraz &
6 MartínezParras 1992). Our selected species differ in drought tolerance strategy based on
7 minimum pre-dawn water potential (Ψ_{pd}) reported. *Salsola* tolerates low water potentials,
8 ($\Psi_{pd} \approx -5$ MPa, Pugnaire, Armas & Valladares 2004). As for *Ephedra*, there are no data
9 available, but preliminary measurements suggest high tolerance to low water availability
10 ($\Psi_{pd} \approx -5.2$ MPa, F.I. Pugnaire, unpublished data). Our other species could be considered
11 as non-drought-tolerant based on less negative Ψ_{pd} ; around -1.5 MPa for the deep-rooted
12 *Retama* (Haase *et al.* 1999), -2.5 MPa for *Pinus* (Oliet *et al.* 2002), and -2.25 MPa for *Olea*
13 (Faria *et al.* 1998). Germination of *Olea*, *Pinus* and *Retama* under Mediterranean
14 conditions begins in winter (Rey & Alcántara 2000; Nathan & Ne'eman 2004; Pugnaire *et*
15 *al.* 2006b). There are no accurate data for germination patterns of *Ephedra* and *Salsola*, but
16 specific traits suggest that they germinate in winter too, because seeds disperse in late
17 autumn or early winter (Rodríguez-Pérez, Riera & Traveset 2005) and seeds do not show
18 dormancy (Navarro & Gálvez 2001).

19

20 *Field site and experimental design*

21 We tested our hypothesis by conducting a transplant experiment in a semi-natural
22 field site rather than by monitoring seedling occurrence in nature. This minimized
23 environmental heterogeneity and root losses at harvest, allowed roots to grow without soil
24 impediments, and also allowed for comparisons of potential root growth under the same set
25 of abiotic conditions. The experiment was set up in flat and homogeneous 15 x 15 m

1 terrace for vegetable crop at the foothills of the Sierra Alhamilla range (Almería, Spain,
2 37°99'N, 02°99'W, 600 m elevation). The silt soil had been ploughed regularly for years,
3 was free of rocks, and reached ca. 2 m in depth over a mica-schist bedrock. Fertility and
4 water holding capacity were very low (Pérez-Pujalte 1989). Neither pesticides nor
5 fertilizers were applied at the site for at least five years. The climate is typically
6 Mediterranean semi-arid with a mean annual temperature of 17.3 °C and mean annual
7 precipitation of 282 mm and a marked drought period from May to September, though
8 small rain events may occur. Temperatures are mild in winter and hot in late spring and
9 summer.

10 In late winter 2004, eight 3 x 3 m plots spaced 1.5 m apart were laid out on the
11 terrace in a 3 x 3 design. To homogenize soil and facilitate root growth, the soil in each
12 plot was completely dug up to a depth of 0.5 m, using an auger (BT 120 C, Stihl AG & Co.
13 KG, Germany) to drill 30 cm-wide holes adjoined to each other. One- to two-month-old
14 seedlings were transplanted on early April, after heavy spring rainfalls. Seedlings were
15 provided by local nurseries and seeds were collected in areas with similar ecological
16 conditions. Care was taken to follow the natural recruitment dynamics of all species, and
17 transplanting was done when seedlings of all species had already emerged in the field.

18 In each plot, ten bare-root seedlings of each species, similar in size and with intact
19 root systems, were planted ca. 35 cm apart from each other and from the plot borders. The
20 spatial arrangement of different species in each plot was fully random. The terrace was
21 fenced to prevent herbivory, and each plot was watered once with 5 L (\approx 0.1 L of
22 water/plant) immediately after transplanting. One plot was harvested (H, hereafter) every
23 three weeks on average between April and September, encompassing the spring growing
24 period and the summer drought. Initial data (referred to as H0) consisted of ten randomly
25 harvested seedlings of every species before transplanting. The remaining harvests (H1 to

1 H8) were done 13, 28, 48, 66, 81, 97, 121, and 153 days after transplanting. On each
2 harvest all living individuals in a randomly chosen plot were dug out carefully, and
3 maximum rooting depth was accurately recorded. To take root systems out without serious
4 damages and losses, a three-meter-long trench was dug around the periphery of the plot.
5 Initially the trench was *ca.* 40 cm in width from the plot area and 30 cm deep, but the depth
6 of the trench increased in successive harvests until reaching *ca.* 100 cm on the last
7 sampling date. The front of the trench was gently crumbled from side to side with a hoe,
8 which was replaced by a small punch when close to the base of the plant. Roots were
9 carefully brushed and then manually extracted and stored in paper bags. Soil containing
10 root that could not be separated in the field were carried to the laboratory. Similarly,
11 broken roots and soil lumps suspected of having roots were also collected. Roots could be
12 easily matched with individuals because all species had one or several major tap roots, grew
13 vertically, and none spread horizontally. Fine roots attached to major tap roots were
14 collected as well.

15 Trenches let us accurately register the maximum rooting depth reached by each
16 individual. After collecting, samples were immediately carried to the laboratory for root
17 extraction. Roots and soil were repeatedly submerged in water and finely sieved to retain
18 fine roots. Soil particles attached to roots were manually separated with a fine brush. Shoot
19 and root biomass were obtained after drying samples in a ventilated oven at 71 °C for at
20 least 48 hours. Root-to-shoot ratio (R:S) for each plant were obtained from these data.

21 Species survival was recorded at harvest by counting living individuals of each
22 species in the harvested plot. Survival rate was obtained in relation to the number of plants
23 that survived transplant after one week. Soil moisture and temperature at a depth of 5, 15,
24 30, 45, and 60 cm were continuously monitored during the experiment in the last plot
25 harvested. One probe for measuring soil volumetric water content (ECH₂O, Decagon

1 Devices, Inc., Pullman, WA, USA) and temperature (Onset Computers, Pocasset, MA,
2 USA) was inserted at each depth. Readings were taken every ten minutes and averaged
3 daily. Soil water content at any given depth within an interval was determined through
4 interpolation between neighboring readings, assuming that water content in the interval
5 changed linearly. To draw the evolution of a particular soil moisture value with time and
6 depth we inferred soil depth corresponding to such particular moisture value. To achieve
7 this, soil depth having such moisture value was back-interpolated from readings of
8 neighboring probes that comprised moisture both above and below the target value.

9

10 *Growth analysis and statistics*

11 Mean relative growth rate (RGR) for each species during the monitoring period was
12 calculated from observed values between H8 and H0, using the Hunt *et al.* (2002)
13 spreadsheet tool. Growth curves were analyzed using ANCOVA on log-transformed
14 observed values with number of days after transplanting as a covariate. Differences among
15 species were considered significant when the species x time interaction was significant.
16 Relative root extension rates (RER) between two consecutive harvests were obtained for
17 each species from fitted polynomial curves using the HPcurves v.3.0 software (Pooley *et*
18 *al.* 2003). Differences in biomass and maximum rooting depth among species at H8 were
19 tested using one-way ANOVA followed by Scheffé post-hoc comparison tests.

20 Heteroscedastic variables were log-transformed to meet ANOVA assumptions. Differences
21 in seedling survival among species in September were compared through simple binary
22 logistic regression where survival was the dependent variable and species the predictor
23 factor (Agresti 2002). Regression analyses were performed to test correlation strength
24 between variables, using adjusted R^2 to correct for the degrees of freedom. All analyses
25 were conducted with SPSS v13.0 (SPSS Inc., Chicago, IL, USA) and differences were

1 significant at $P < 0.05$. Sample size in all analyses was 4-10 for each species, with the
2 exception of *Pinus* at H8, when only two plants remained alive. Data are presented as
3 means \pm one standard error.

4

5 **Results**

6 In our field site, a rainy spring (205 mm vs. 101 mm average in the 1967-1997 period,
7 Confederación Hidrográfica del Sur) was followed by a summer with no rainfall (Fig. 1S).
8 Survival in spring –April to June– was 100% in all species except *Ephedra*, whose
9 seedlings reached a mortality rate of 12.5% by mid-June, and increased to 40% in
10 September. By contrast *Retama* and *Salsola* maintained maximum survival rates (100%)
11 throughout the season, whilst *Olea* reached 80% and *Pinus* 20% (Fig. 1). Moisture
12 decreased quickly in top soil layers as the drought period progressed, reaching values in
13 September of 1.5 % and 11.5 % at 5 and 15 cm respectively; soil humidity remained at ~21
14 % from mid-June onwards at 45 and 60 cm. Thus soil moisture in September showed a
15 strong gradient, increasing with depth (Fig. 1).

16 Species differed significantly in plant size (Table 1). Significant differences were
17 found in the maximum depth reached by roots in September (one-way ANOVA $F_{4,23} =$
18 11.7, $P < 0.001$). The two early colonizers, *Salsola* and *Retama*, rooted deepest and also had
19 the highest mean root extension rates (RER_{mean} , Table 1). By contrast, the shallowest roots
20 were found in *Ephedra* and *Pinus*, which also had the lowest mean root extension rates. In
21 September, at least one tap root of *Olea*, *Retama* and *Salsola* reached well below 35 cm,
22 whereas roots of *Ephedra* and *Pinus* did not surpass 25 cm (Fig. 1). While the root-to-
23 shoot ratio in all species was below 0.5, significant differences were detected regarding
24 allocation patterns (one-way ANOVA $F_{4,23} = 29.3$, $P < 0.001$). *Pinus* allocated the most to
25 roots, followed by *Olea*, *Retama* and *Ephedra*. By contrast, allocation to roots relative to

1 shoots was rather small in *Salsola* (R:S < 0.1). Root-to-shoot ratios did not increase in
2 response to increasing drought but on the contrary decreased over the course of the season
3 in *Olea*, *Pinus* and *Salsola*, and remained relatively constant in *Ephedra* and *Salsola* (Fig.
4 2S).

5 At the end of the drought season there were significant differences in seedling
6 establishment among species (logistic regression $\chi^2 = 24.2$, $df = 4$, $P < 0.001$). There were
7 strong positive relationships between seedling survival and maximum rooting depth
8 (logistic function, $R_{adj}^2 = 0.99$, $P < 0.01$, Fig. 2a), and between survival and the soil moisture
9 estimated at the maximal rooting depth of the species at final harvest (logistic function,
10 $R_{adj}^2 = 0.97$, $P < 0.02$, Fig. 2b), showing that the probability of establishment success strongly
11 related to increasing rooting depth and therefore soil moisture. Species whose roots
12 accessed soil layers below 45 cm had 100% survival rates (*Salsola* and *Retama*), whereas
13 much lower rates (20-40%) were found in species that rooted in shallower, dryer soil layers
14 (ca. 22.5 cm, *Ephedra* and *Pinus*). Species rooting in layers with < 12% soil moisture
15 established poorly (*Pinus*, *Ephedra*). On the contrary, full establishment was exhibited by
16 species whose roots reached soil layers above ~18 % moist (*Retama* and *Salsola*). There
17 was, however, no relationship between survival at final harvest and R:S ratio (linear
18 regression, $R_{adj}^2 = 0.12$, $P > 0.38$, Fig. 2c). Initial plant size did not correlate with maximum
19 rooting depth at H8 nor RGR, (linear regression, $P > 0.57$ and $P > 0.3$, respectively) or
20 survival at H8 ($P > 0.3$ for all fitted functions).

21 Species also differed in root growth patterns over spring and summer (ANCOVA
22 species x time $F_{4,333} = 18.4$, $P < 0.001$, Fig. 3S). Roots of *Salsola* displayed a parabolic
23 growth curve characterized by rather low RER values early in the season, followed by a
24 period of increasing growth rate until the onset of the drought season in mid-June, at which
25 point RER started to decrease. In contrast, *Ephedra*, *Olea* and *Retama* grew at a constant

1 rate from April to September. *Ephedra* and *Olea* shared nearly identical RER, whereas
2 *Retama* exhibited larger values. Growth rate of *Pinus* decreased from the beginning,
3 showing the highest value in the first harvest and the lowest in the last one.

4

5 **Discussion**

6 The effect of summer drought on seedling establishment has long been acknowledged in
7 Mediterranean environments (Herrera 1992); however, to our knowledge, the direct links
8 between rooting depth, soil moisture, and establishment were never quantified. We found
9 that survival was related to keeping roots within a minimum soil humidity value that
10 control plant establishment. Our data show that the ability to develop deep roots was
11 decisive for seedlings to survive summer drought, regardless of species-specific drought
12 tolerance. Deep-rooted seedlings either from a drought-tolerant species (based on
13 minimum Ψ_{pd} reported) such as *Salsola* or a drought-sensitive species such as *Retama* had
14 consistent access to moist soil layers and showed the greatest survival rates. By contrast,
15 shallow-rooted seedlings of *Ephedra* (a drought-tolerant species) and *Olea* and *Pinus*
16 (more drought-sensitive species) relied on water from shallower soil layers and died as
17 summer drought progressed.

18 Climate change scenarios predict for western Mediterranean basin reduced annual
19 precipitation, shifts in seasonal rainfall patterns (decreasing in spring, summer and autumn)
20 and extended drought periods (IPCC 2001). Here, we looked at species ability to extend
21 their roots fast enough to keep pace with retreating soil humidity and showed that deep-
22 rooted seedlings were best fitted for establishing under a very dry growing season due to
23 such capacity, suggesting that these species may be favored over species of shallow-rooted
24 seedling under extended drought periods. Whether shallow-rooted species would decrease
25 in abundance or be confined to more humid patches or microsites still remains unknown

1 (Schenk & Jackson 2002); however, it is worth noticing that shifts in regional climates are
2 currently leading to changes in vegetation type dominance, e.g., the encroachment of
3 shrubs into grasslands (Brown, Valone & Curtin 1997) most likely because new conditions
4 favor establishment of deep-rooted species (Schenk & Jackson 2002).

5 The relationship reported between soil moisture and survival suggests the existence
6 of a threshold in soil humidity that control plant establishment (Figure 3). In our system,
7 very low establishment rates were achieved by species that kept roots in shallow soil layers
8 with moisture around 12 % (e.g., *Ephedra* and *Pinus*), and according to our data, no
9 establishment would occur for plants rooting in layers drier than ~8%. On the other hand,
10 higher establishment rates were found for deep-rooted species reaching soil layers wetter
11 than 15 % (*Retama* and *Salsola*), and full establishment would be related to rooting in soil
12 layer moister than ~20 %. It is likely that something similar occurs in natural systems,
13 where obviously the threshold will vary depending on soil properties and the species
14 involved. Rainfall-dependent recruitment dynamics reported in dry environments can be
15 interpreted under such operating thresholds. Kitzberger, Steinaker & Veblen (2000) and
16 Holmgren *et al.* (2006) showed that recruitment in dry years is almost lacking, whereas
17 rainy years constitute a window of opportunity for establishment. We suggest that plants in
18 dry habitats may establish easily in wet years without deep roots because soil moisture is
19 kept above the critical threshold along the soil profile (Fig. 3). Conversely, when moisture
20 in the soil profile is below the threshold (m_0), it is not enough to maintain seedlings alive.
21 Soil moisture between both extremes would pivot around the critical threshold, and rooting
22 ability and drought tolerance of the different species would explain variation in
23 establishment patterns.

24 The lack of rain during our experiment produced a vertical soil moisture gradient,
25 but summer rains could have replenished soils and altered the gradient, most likely

1 changing the final outcome. This is supported by research evidencing that alleviation of
2 summer drought by watering boosts establishment success in Mediterranean environments
3 (Castro *et al.* 2005), and work conducted in a nearby area with the species we used here
4 showed that irrigation during summer did considerably raise survival in all species
5 (Sánchez *et al.* 2004). Our interpretation is that small rainfalls (like watering) keep soil
6 moisture above certain thresholds and that rain events in summer may determine seedlings'
7 fate.

8 Plants may adjust to resource imbalance by allocating biomass to organs that
9 acquire the limiting resource (Chapin *et al.* 1987), so that a higher allocation to roots (i.e.,
10 higher root-to-shoot ratios) could be expected under water stress. We found, however, no
11 relationship between survival and R:S ratio, and paradoxically the most successful
12 survivor, *Salsola*, allocated relatively the least to roots, and the species with most failures,
13 *Pinus*, had the highest R:S ratio. Overall we did not find substantial changes in R:S during
14 the growth period, in contrast to reports that found shifts in dry mass partitioning between
15 shoots and roots during plant growth (Klepper 1991). Biomass allocation to roots did not
16 increase in any species in response to drought, suggesting that large R:S ratios may not be
17 enough to compensate for the deepening of moisture along the soil profile in summer.
18 Rather, the ability to alter rates, timing and placement of root proliferation may have
19 greater importance for plant success than changes in biomass allocation between roots and
20 shoots (Reynolds & D'Antonio 1996). Lloret, Casanovas & Peñuelas (1999), however,
21 reported a large positive correlation between R:S and seedling survival in a Mediterranean
22 shrubland, but in their field site roots rarely reached below 10 cm and the high summer
23 rainfall in the reported years kept shallow soil horizons moist. Under such circumstances
24 greater biomass allocation to roots may allow for more efficient water uptake.

1 Some species from dry environments have dual root systems with shallow lateral
2 roots that exploit small rainfall events which hardly penetrate into the soil, and deep roots
3 that tap deep water sources (Canadell & Zedler 1995). However, dual systems often
4 develop as the plant matures, and the absence of lateral branches is frequent in seedlings
5 from xeric habitats (Canadell *et al.* 1999; Nicotra, Babicka & Westoby 2002). Our
6 observations agree with these patterns, since roots of the five species grew vertically and
7 none spread horizontally. This suggests a primary investment to develop root systems that
8 penetrate into deeper, more reliable water sources rather than allocating biomass to
9 develop both surface and deep roots, because moisture in the soil surface is unreliable
10 (Ehleringer & Dawson 1992).

11 In conclusion, our work contributes to understand plant strategies to deal with
12 seasonal drought at the seedling stage and underlines the importance of rooting depth in
13 plant survival. In the absence of other constraints on establishment like dispersal, seed
14 germination triggers, or herbivory, the ability to reach deeper, moister soil horizons is
15 critical to cope with water stress at such early stage and become established. Our data
16 suggests that species able to keep roots in moist soil layers are better prepared to withstand
17 drought. Also, soil moisture thresholds seem to control plant survival, so that plant
18 establishment may be restricted if soil moisture is below certain levels, which has direct
19 implications for population and community dynamics.

20

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- 6

1 **Tables**

2

3 Table 1. Final plant size, mean relative growth rate (RGR_{mean}) and mean root extension rate
 4 (RER_{mean}) between initial and final plant sizes; maximum rooting depth and root-to-shoot
 5 ratio (R:S) of each species. Significant differences among species are indicated by F
 6 values, *** $P < 0.001$. Different letters in a row show significant differences among species
 7 at $P < 0.05$ (one-way ANOVA, Scheffé's test). Values are means \pm 1SE.

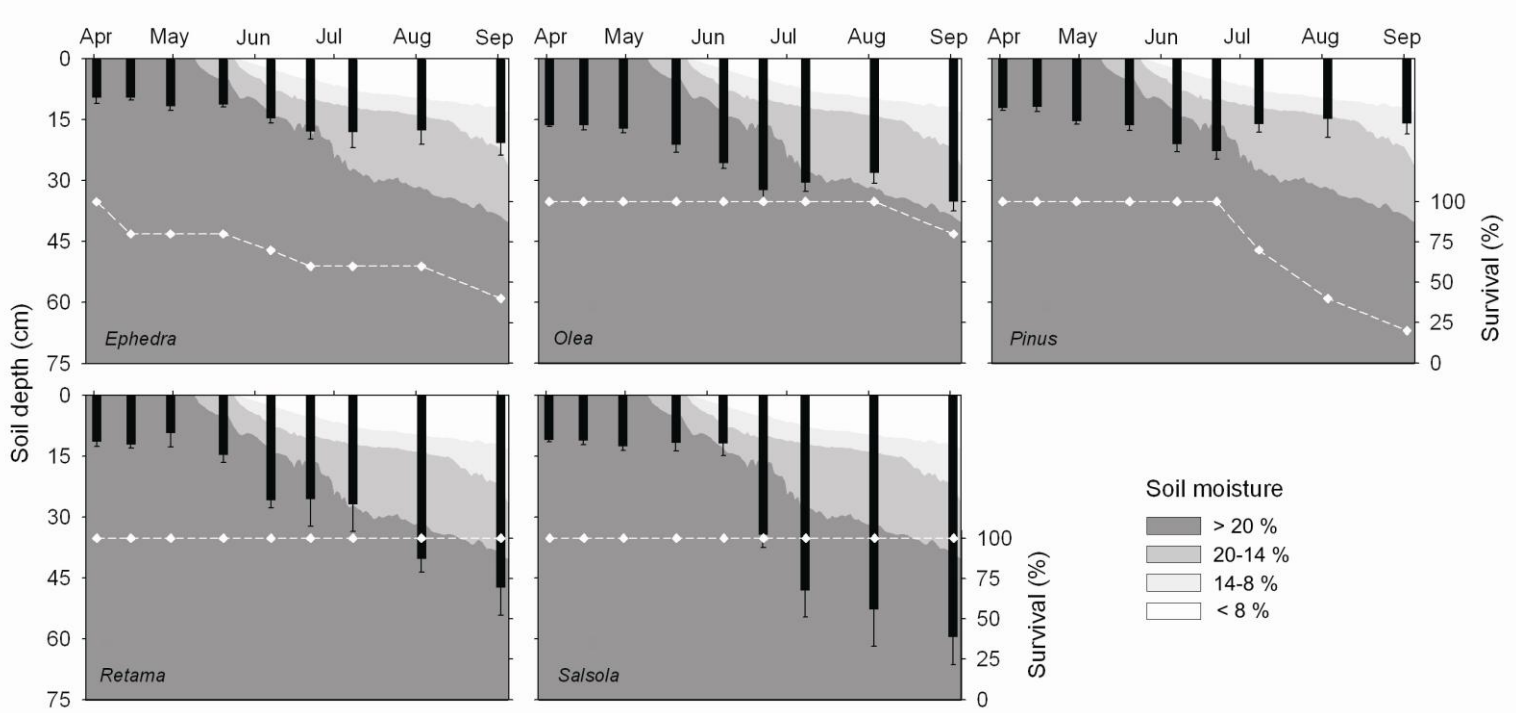
Species	<i>Ephedra</i>	<i>Olea</i>	<i>Pinus</i>	<i>Retama</i>	<i>Salsola</i>	$F_{4,23}$
Total mass (g)	0.267 \pm 0.06 ^{ac}	1.572 \pm 0.326 ^b	0.152 \pm 0.024 ^c	1.221 \pm 0.285 ^{ab}	75.012 \pm 17.011 ^d	67.963 ^{***}
RGR_{mean} (mg g ⁻¹ day ⁻¹)	17.1 \pm 3.4	13.7 \pm 0.5	4.4 \pm 1.9	25.5 \pm 7.8	48.0 \pm 5.1	-
RER_{mean} (mm cm ⁻¹ day ⁻¹)	5.3 \pm 3.3	5 \pm 1.3	1.9 \pm 1.2	9.3 \pm 3.8	10.8 \pm 2.5	-
Rooting depth (cm)	20.6 \pm 3.1 ^a	35.2 \pm 2.3 ^{ab}	15.9 \pm 2.7 ^a	47.3 \pm 6.9 ^b	59.5 \pm 6.7 ^b	11.651 ^{***}
R:S ratio	0.22 \pm 0.03 ^a	0.43 \pm 0.02 ^a	0.49 \pm 0.07 ^a	0.31 \pm 0.04 ^a	0.08 \pm 0.01 ^b	29.319 ^{***}

8

1 **FIGURES**

2

3 **Figure 1**



4

5

6 Figure 1. Maximum rooting depth (solid bars) and isoclines of soil moisture (shaded areas)

7 on left Y-axis and seedling survival (white dots) on secondary right Y-axis. Soil layers

8 with moisture above 20% are represented by dark grey; in grey area moisture ranged 14-

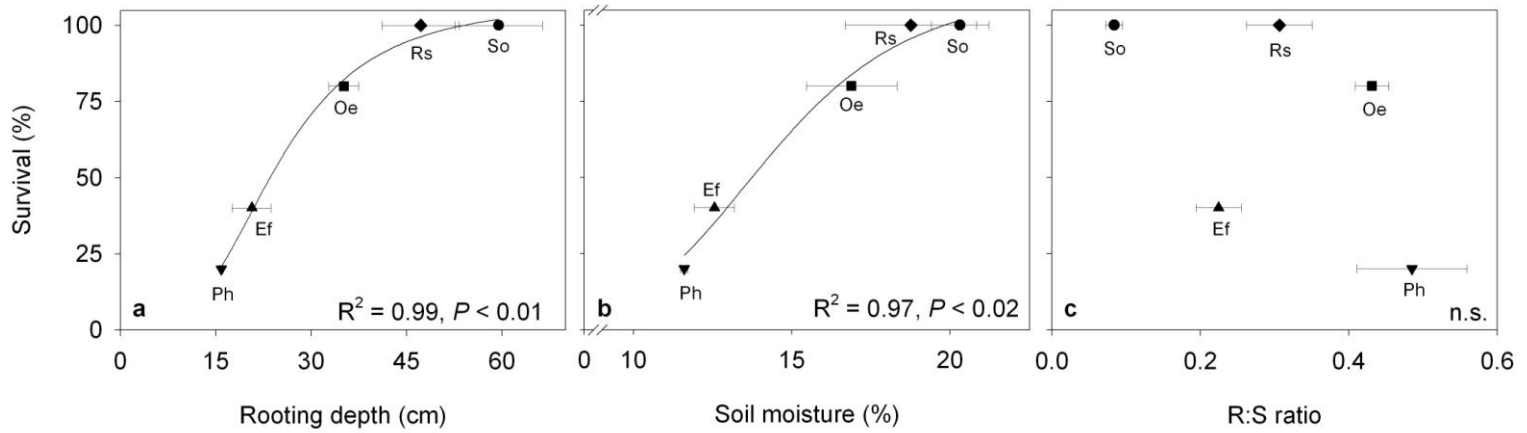
9 20%; pale grey area indicate 8-14%, and white area below 8%. Values of soil moisture in

10 the interval 0-5 cm depth were extrapolated due to the lack of humidity probes within this

11 range. Values of rooting depth are means \pm 1SE.

12

1 **Figure 2**

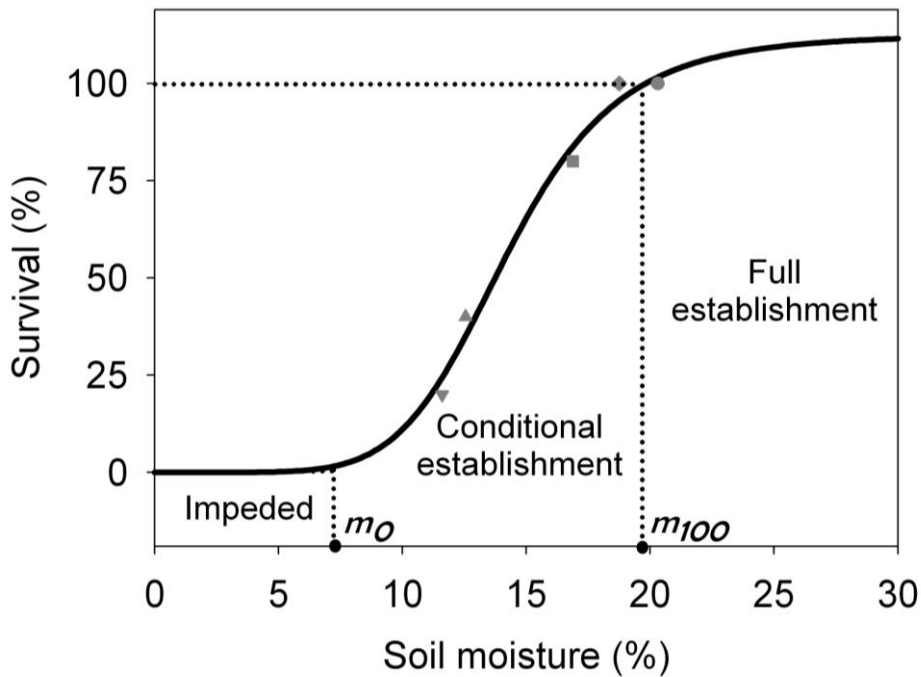


2

3 Figure 2. Relationships between survival and, maximum rooting depth (a), moisture at the
 4 deepest soil layer reached by roots (b), and R:S ratios in September (c), after the summer
 5 drought. Values are means \pm 1SE, with the exception of survival. Ef, *Ephedra*; Oe, *Olea*;
 6 Ph, *Pinus*; Rs, *Retama*; So, *Salsola*. n.s.= not significant correlation at $P < 0.05$.

7

1 **Figure 3**



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3

4 Figure 3. Proposed control of soil moisture on seedling establishment. There is a point

5 below which seedling establishment is impeded due to insufficient moisture (m_0) and

6 another point above which full establishment is reached (m_{100}). Conditional establishment

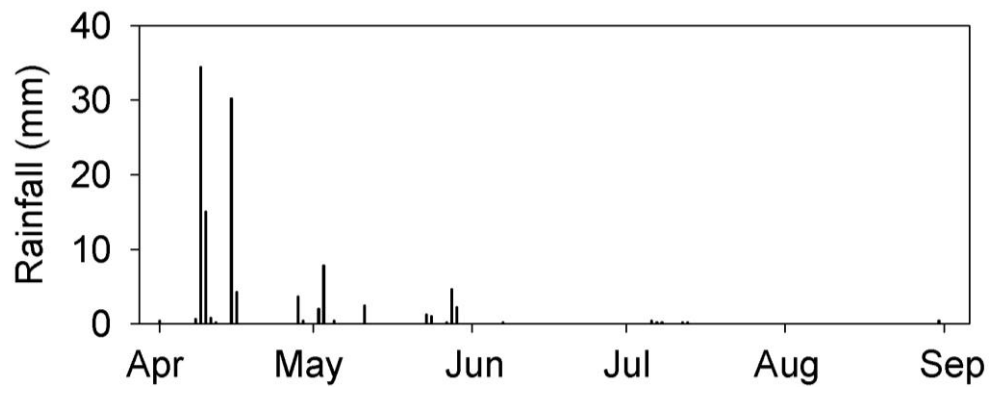
7 occurs between m_0 - m_{100} depending on rooting depth and species-specific drought

8 tolerance. Grey dots show survival of our species.

9

1 **Supplementary material**

2 **Figure 1S**



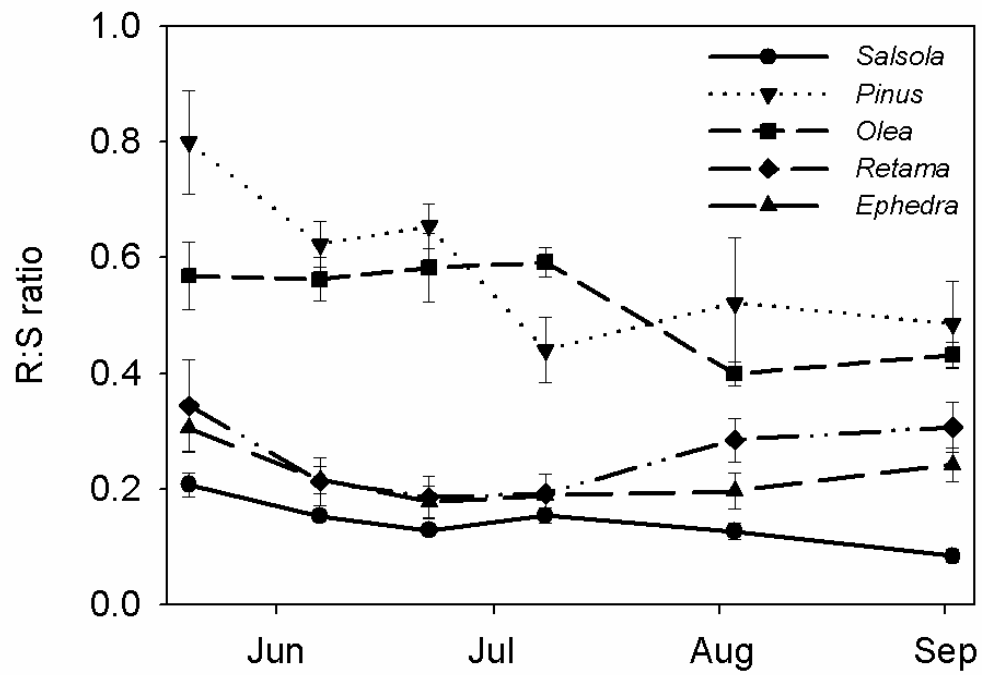
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5 Figure 1S. Daily rainfall between April and September 2004.

6

1 **Figure 2S**



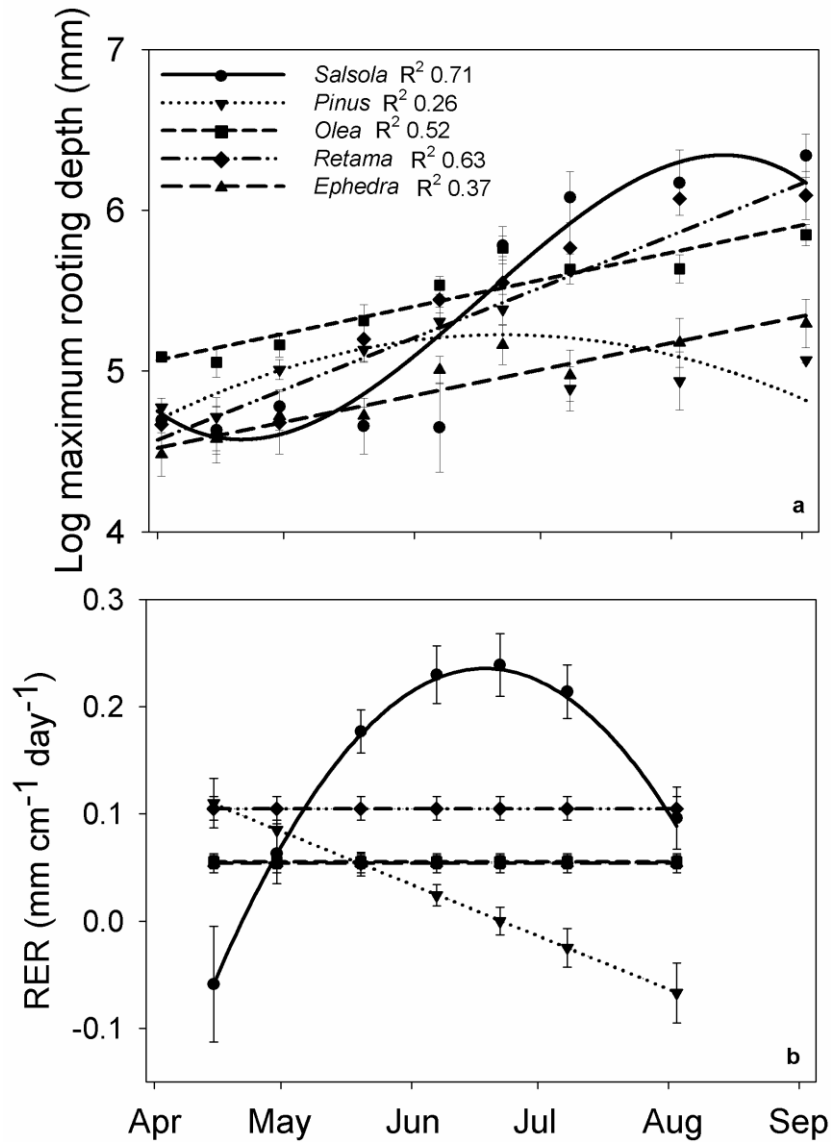
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4 Figure 2S. Root-to-shoot ratio (R:S) for each species. Values are means \pm 1SE.

5

1 **Figure 3S**



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3

4 Figure 3S. (a) Log-transformed maximum rooting depth (mm) at each harvest (symbols)

5 and fitted functions (lines). R^2 shows regression coefficient at $P < 0.05$. (b) Root extension

6 rate (RER, mm cm⁻¹ day⁻¹) for each harvest and species. Symbols and lines of *Olea* and

7 *Ephedra* overlap. Symbols are means ± 1 SE. Initial and final RER values not shown for

8 clarity due to widening of confidence limits.